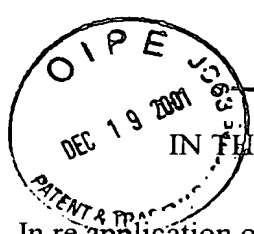


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Kleinschmidt *et al.*  
Serial No. 09/923,270  
Filed: August 3, 2001  
For: *AAV-DNA COMPRISING HELPER  
VIRUS SEQUENCES*

Group Art Unit: 1648  
Examiner: To be assigned  
Attorney Docket No. 8484-101-999

Date: October 19, 2001

TRANSMITTAL OF SUBSTITUTE FIGURES

Attn: OFFICIAL DRAFTSPERSON  
Assistant Commissioner for Patents  
Washington, D.C. 20231


Sir:

In accordance with the Notice to File Corrected Application Papers mailed August 27, 2001, applicants' attorney submits herewith two (2) sheets of substitute drawings, (2 Figures) for the above-referenced application.

The Commissioner is hereby authorized to charge any fees which may be associated with this communication to our Deposit Account No. 16-1150 (order no. 8484-101-999). A copy of this sheet is enclosed for such purpose.

Respectfully submitted,

PENNIE & EDMONDS LLP

By:   
Nathan A. Machin, Reg. No. 47,763  
for Laura A. Coruzzi, Reg. No. 30,742

1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/923,270	08/03/2001	Jurgen Kleinschmidt	8484-101-999

CONFIRMATION NO. 3472

FORMALITIES LETTER



\*OC00000006473708\*

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, NY 10036-2711

Date Mailed: 08/27/2001



NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

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